IN THE UNITED STATES PATENT AND TRADEMARK OFF In re Patent Application of: plication Branch SHIMIZU et al. Atty. Dkt. 723-969 Serial No. 09/722,664 Date: May 11, 2001 November 28, 200 Filed: For: CONTROLLER INTERFACE FU Assistant Commissioner for Patents Washington, D.C. 20231 The attached completes filing of the above-identified patent application: Signed Rule 63 Declaration alone OR Signed Declaration plus attached copy of originally filed specification/drawings. NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED form. Record and return the attached assignment. Priority is hereby claimed per Rule 55 & 35 USC119 based on prior foreign application(s) Nos.: **Filing Date Application Nos.** Country respectively. This application is based on the following prior provisional application(s): Filing Date Application No. August 23, 2000 60/226,885 respectively and priority is hereby claimed therefrom. Certified copy(ies) of foreign and/or provisional application(s):

attached;

already filed on _____ in U.S. Application Serial No. _ ____, filed on _ The undersigned verifies that the above-identified application is identical to: "Small entity" statement attached. This application is entitled to "Small entity" status. Also attached: Information Disclosure Statement; PTO-Form 1449; 8 References Fees due are calculated below: 710.00 Basic filing fee 18.00 54.00 - 20 = x \$ Total Effective claims 80.00 2 -3 = 0 0.00 Independent claims x \$ If any proper multiple dependent claims now added for first time, add \$270.00 (ignore improper) 0.00 764.00 **FILING FEE** Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$390.00/2 months; \$890.00/3 months; \$1390.00/4 months) 0.00 Surcharge (\$130.00) if Declaration or filing fee first now submitted 130.00 FIRST SUBTOTAL 894.00 If "small entity," enter half (1/2) of subtotal and subtract -\$ 0.00 **SECOND SUBTOTAL** 894.00 Assignment Recording Fee (\$160.00) 160.00 (\$80.00 x 2 Assignments - Two Applications each Assignment - \$160.00) **TOTAL FEE DUE** 1,054.00 Check enclosed (Pre-Bill)* Check enclosed (non Pre-Bill)* 1.054.00 1054.00 TOTAL FEE ENCLOSED Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any <u>deficiency</u> in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached. 1100 North Glebe Road, 8th Floor **NIXON & VANDERHYE P.C.** By Atty: Michael J. Shea, Reg. No. 34,725 Arlington, Virginia 22201-4714 Telephone: (703) 816-4000 Facsimile: (703) 816-4100 MJS:led

*For N&V internal accounting purposes.



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023I www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE 11/28/2000

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/722,664

Dan Shimizu

723-969

CONFIRMATION NO. 5289

FORMALITIES LETTER

OC000000005910081*

NIXON & VANDERHYE P.C. 8th Floor 1100 North Glebe Road Arlington, VA 22201-4714

MAY 1 1 2001

Date Mailed: 03/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$54.
 - \$54 for 3 total claims over 20.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 894.

A copy of this notice MUST be returned with the reply.

움음음

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

5/2001 AZERGAW1 00000059 09722664